

Development of Elements of a Draft Five-Year Strategy for the Arms Trade Treaty

Call for Proposals

Annex I- Strategy Format

This annex is provided for illustrative purposes only. It does not prescribe the structure, content or format of the ATT strategy, which will ultimately be for States Parties and other stakeholders to determine. Rather, it offers one possible way of thinking about how a long-term strategy could be articulated, consistent with the approach reflected in the Call for Proposals and the submission form.

The examples below are intended to support reflection on how a five-year ATT strategy could be structured in a way that promotes focus, continuity, accountability and impact, while remaining grounded in existing ATT mandates, structures and processes.

I. Possible overall approach to the strategy

A long-term ATT strategy could be conceived as a **politically endorsed document** that provides strategic direction over a five-year period, while allowing for **periodic assessment and adjustment** in light of experience, emerging challenges and evolving priorities.

Such a strategy could seek to:

- operationalize the object and purpose of the Treaty, as set out in Article 1;
- support greater focus and prioritization across ATT structures and activities, particularly in light of resource constraints;
- enable tracking of progress and impact over time; and
- provide a shared reference point for States Parties, the Secretariat and other stakeholders.

II. Illustrative internal structure

A five-year ATT strategy could be organized around a limited number of **thematic or strategic areas**, corresponding broadly to the Treaty's provisions and the ATT's existing structures (e.g. implementation, transparency, universalization, cooperation and assistance, infrastructure and resources, gender). The strategy could combine a **narrative component** outlining strategic vision, priorities and guiding principles with more **structured elements**, such as objectives and actions organized in a matrix or similar format. This structure could support clarity, coherence and monitoring over the five-year period, while allowing flexibility for review and adjustment.

Within each area, the strategy could include the following elements:

1. Objective(s)
2. Priority actions
3. Performance measures/indicators
4. Timeline
5. Responsible ATT body/process
6. Resources (needed) – financial and human – where applicable
7. Suggestions to avoiding duplication / building on existing practices

8. Monitoring & Evaluation process.

In developing these elements, respondents may wish to draw inspiration from established planning approaches, such as the **SMART** model, to help ensure that objectives and actions are **Specific, Measurable, Achievable, Relevant and Time-bound**, while remaining proportionate and politically realistic.

Monitoring and Evaluation

For the Call for Proposals, **monitoring and evaluation (M&E) process** is understood in a **light, non-prescriptive sense**. It refers to practical approaches for tracking progress, facilitating learning and supporting periodic reflection within existing ATT processes.

Illustrative approaches could include:

- periodic stocktaking under relevant Working Groups or at CSPs;
- review of agreed indicators over time;
- agenda items dedicated to reflection on progress, challenges or lessons learned; or
- synthesis by the Secretariat, as mandated by the CSP.

These approaches are intended to support transparency, learning and strategic adjustment, **not to create compliance or enforcement mechanisms**.

III. Clarification of terminology

For the purposes of the Call for Proposals, the following terms are used in a **practical, non-technical sense**:

- **Overarching goal:** a high-level statement, linked to Article 1, describing the overall ambition of the strategy over the five-year period.
- **Strategic objective:** a desired outcome to be achieved within a thematic or strategic area during the strategy cycle.
- **Priority action:** a concrete step, activity or line of effort that could contribute to achieving an objective, taking into account existing CSP decisions, Working Group mandates, Secretariat functions and resource considerations.
- **Performance measure:** an indicator that could help assess whether progress or impact is being made over time.
 - *Qualitative performance measures* describe changes in practices, processes, cooperation or capacity that are not easily expressed numerically.
 - *Quantitative performance measures* refer to elements that can be expressed numerically or through simple counts over time.
- **Timeline / Milestone:** a time-bound reference point used to support sequencing or stocktaking within the strategy cycle.
- **Monitoring and Evaluation:** a periodic review of progress, lessons learned or emerging issues within ATT forums.
- **Relevant ATT body or process:** an existing ATT forum or mechanism through which actions could be advanced or reviewed.

These terms are intended to **encourage concrete, implementation-oriented proposals**, rather than abstract statements of intent or calls for further study.

IV. Example

The example below is provided for illustrative purposes only, to demonstrate how the elements outlined above could be brought together in a structured way for a single thematic area within a five-year ATT strategy. It does not prescribe content, level of ambition or format.

Thematic Area – Treaty Articles	Strategic objective(s)	Priority Action	Indicative performance measures	Relevant ATT body or process	Timeframe / milestones	M&E mechanism
Area A – Implementation (relevant to article 5)	<i>Operationalize Art.5.2 - Strengthen national control systems</i>	<i>Support States Parties in establishing, updating or clarifying national control lists and related procedures, taking into account existing ATT guidance and national legal frameworks.</i>	<ul style="list-style-type: none"> • Qualitative: <ul style="list-style-type: none"> ○ Improved clarity or consistency in national approaches to defining the scope of controlled items; ○ Increased exchange of experiences among States Parties on the development and use of national control lists; ○ Reported improvements in inter-agency coordination related to transfer controls. • Quantitative: 	WGETI	Year 1 of the strategy	<i>Progress reviewed on a yearly basis within the WGETI, (e.g. for example through a dedicated agenda item)</i>

			<ul style="list-style-type: none">○ <i>Standardized template agreed</i>○ <i>Number or proportion of States Parties that have established, updated or publicly described their national control lists;</i>○ <i>Number of States Parties participating in ATT discussions, workshops or assistance activities related to Article 5 implementation.</i>			
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V. List of Acronyms

- CSP – Conference of States Parties
- CSP11 – Eleventh Conference of States Parties
- WGETI – Working Group on Effective Treaty Implementation
- WGTR – Working Group on Transparency and Reporting
- WGTU – Working Group on Treaty Universalization
- DIEF – Diversion Information Exchange Forum
- VTF – Voluntary Trust Fund
- M&E – Monitoring and Evaluation